Lecture 6: 'Dreaming and the Will I'

I. Recap

Thus far we've been looking at the following questions:

- Do we have a distinctive faculty of the will? We looked at this in the context of recent philosophical work on intentions: what are future-directed intentions are they reducible to other mental states like beliefs and desires, or are they sui-generis mental states?
- Is weakness of the will possible?
- What is the nature of addiction should we understand addictive behaviour as falling within the scope of intentional action, or rather as instances of compulsive action?

Question for the next two to three weeks: are dreams agentive mental states? when we dream are we able to exercise agency over our mental lives and form intentions? How does this differ from the sort of agency we are capable of exercising when we are awake?

This is a question about the scope the will in our mental lives: How pervasive is the faculty of the will? Are dreams deficits of the will? We're going to look at two contemporary accounts of dreaming which disagree about this issue: voluntary imagination models of dreaming and involuntary imagination models of dreaming.

II. Important Preliminaries

I. Much of philosophy of mind relies on a background methodological assumption that people are awake.

When doing philosophy of mind philosophers typical pose their central questions about specific mental phenomena on the assumption that subjects are awake. Consider these familiar questions:

- What is imagination?
- What is the nature of conscious experiences?
- How do we go about forming and acting on intentions?

You might think that reflection of this poses a methodological problem: if we are aiming at complete accounts of these phenomena, should we not also examine the exercise of these faculties when we are asleep?

An average person spends 26 years of their life sleeping! (roughly, 9,940 days). This suggests that a great deal of the conscious mental activity we experience as human beings occurs when we are not awake – i.e. when we dream.

2. What is the nature of dreaming is an ontological question

Should be distinguished from other questions we can ask about dreaming: what is the biological function
of dreams? Can dreams be genuine epistemic sources of knowledge and insight? Can we we morally
responsible for our dreams?

III. Dreams, Hallucination and Skepticism

Can be understood in terms of the background debate in epistemology: how can I know that I am not dreaming right now?

"Often in my dreams I am convinced of just such familiar events — that I am sitting by the fire in my dressing-gown, when in fact I am lying undressed in bed!"

"Since during our lives we are alternately awake or dreaming, a dream may give rise to deception because things may appear to be present when they are not in fact present". (Descartes; Meditations;78).

Descartes famous skeptical 'dream argument' seems to depend on ontological assumptions about the nature of dreaming. In particular, it seems to presuppose an account of dreaming in terms of hallucinations.

The Hallucination Model of Dreaming:

- 1. **Percepts:** when I am dreaming of standing in front of the fire, I am having a perceptual experience of the same sort, were I to be standing in front of it.
- 2. **False beliefs:** When I dream that I am warming my hands on the fire, my experience involves my having the false belief that I am warming my hands on the fire.

Contemporary versions of hallucination models of dreaming abound in neuroscience and philosophy (Windt 2010):

Alan Hobson's AIM model: "What is the difference between my dreams and madness? What is the difference between my dream experience and the waking experience of someone who is psychotic, demented, or just plain crazy? In terms of the nature of the experience, there is none. In my New Orleans dream I hallucinated: I saw and heard things that weren't in my bedroom. I was deluded: I believed the dream actions were real despite gross internal consistencies. I was disorientated. I believed that I was in an old hotel in New Orleans when I was actually in a house in Ogunquit". (Hobson 1999 p.5).

IV. Imagination Models of Dreaming

- •The experiences we have whilst dreaming are not perceptual in nature, but imaginative.
- •They are the same sorts of experience we have whilst imagining while awake.

The Imagination Model of Dreaming:

- I. **Imagery**: dreams involve visual mental imagery. Visual imagery is the kind of experience one undergoes while imagining what something looks like.
- When I dream that I am stood in front of the fire, I am having an experience of the same kind as I have when I visually imagine I am stood in front of the fire while awake.
- 2. **Propositional Imagination:** the belief-like states I take toward the content of my dreams are not false beliefs but imaginings.
- My dreaming that I am warming my hands on the fire does not involve my falsely believing that I am now stood in front of the fire, but my *imagining that* I am doing so.

Question: How do we get from this to a view about the role of agency and intentional action in dreams?

Ichikawa (2009):

"Dreams are very much like vivid daydreams, entered into deliberately and voluntarily. Loose yourself enough in your daydreams and you will feel, in some sense, as if you are really there".

"To imagine is to act – our imagery is in some important sense under our control; this is not so with percepts".

Objection: Does this imply that we cannot have involuntary imaginings?

- We often classify things which appear involuntary as imaginations e.g. earworms, unbidden images. Do these not count as imagination on this agential view of imaginings?
- Ichikawa says that we can still view these as imaginings. On his view, these involuntary imaginings are still subject to the will we can attempt to banish visual imagination, while 'it does not make sense' to attempt to banish perceptual experiences.
- This suggests that the former but not the latter are subject to the will they are agential states.
- "We do not 'banish' visual impressions as we do images. And we don't say of the former either, that we might not banish them' (Wittgenstein 1967)"
- "The fact that sometimes we imagine things we'd rather not be imagining does not show that imagining is not an action and subject to the will. Unwelcome imagery is more like an unwelcome habit or addiction that an unwelcome set of chains... even when our imagery is unwelcome and we cannot banish it, we can try to banish it; we know what it is to banish it. We are failing to perform an act. Not so with percepts". (Ichikawa; 2009).

V. Arguments for the Imagination Model of Dreaming:

I. Dreaming in Colour

- •Do you dream in colour?
- •In the 1940s and 1950s, most people believed that visual experience in dreams was black and white.
- •What accounts for this discrepancy?
- •The hallucinatory view seems to struggle to account for this
- •The imagination view can accommodate this by appealing to the idea that visual imagery is often indeterminate in colour.

2. Dreaming Development in Children

- •Psychologist David Foulkes conducted a longterm study of dream development in children
- •Found that dreams are not (contra common belief) ubiquitous in young infants, but develop gradually around four years old, beginning with simple images and slowly increasing in complexity

- •The study suggested that dreams develop in correlation with the development of waking imagery ability.
- "From all my data, the suggestion is that dreaming best reflects the development of a specific cognitive competence, indexed by certain kinds of tests of visual-spatial imagination, leading to the conclusion that such imagination must be a critical skill in dream-making". (Foulkes 1999).

3. Neuropsychological Evidence

- •Mark Solms surveys historical case studies in which brain trauma resulted in both imagery deficits and cessation of dreaming
- •Solms found that the most robust finding was the observation that cessation or restriction of visual dreamimagery is invariably associated with a precisely analogous in waking imagery
- •In short: if you remove the ability to visually dream, you remove the ability to visually imagine while awake.

4. Lucid Dreams

"The second reason in favour of the suggestion that dream experiences are subject to the will is that sometimes, some people have lucid dreams – dreams in which the dreamer, aware that she is dreaming, takes active and conscious control over the content of her dream. Percepts and beliefs are never under our active control, so the orthodox [hallucination] model can certainly not describe lucid dreaming". (Ichikawa;2009;18).

VI. The Scope of the Will

- •If this view of dreaming is correct, where does it leave us with regard to the question we started with. How pervasive is the will in our mental lives?
- •This imagination model of dreaming suggests that the will is more pervasive than we might have thought!
- •Recall, an average person spends 26 years of their lives asleep. If we have conscious experiences the majority of this time (open question in dream research), that's a lot of time for our will to be operative!

Issues for next time:

- •Objections to the imagination model of dreaming: how plausible is it that dreams involve agential imaginings? What can we learn about the nature of dreaming from examining recent neuropsychological case studies of people who lack waking mental imagery?
- •An involuntary imagination model of dreaming
- •A new question: can we form intentions in lucid dreams? Are lucid-dreams really dreams?

Important References

Ichikawa, Jonathan (2009). Dreaming and imagination. Mind and Language 24 (1):103-121.

Windt, Jennifer M., "Dreams and Dreaming", The Stanford Encyclopedia of Philosophy (Summer 2021 Edition), Edward N. Zalta (ed.), URL = https://plato.stanford.edu/archives/sum2021/entries/dreams-dreaming/.

Windt, Jennifer M. (2020). Consciousness in sleep: How findings from sleep and dream research challenge our understanding of sleep, waking, and consciousness. Philosophy Compass 15 (4).

Sutton, John (2009). Dreaming. In John Symons & Paco Calvo (eds.), The Routledge Companion to Philosophy of Psychology. Routledge.

Descartes, (1641), Meditations on First Philosophy, reprinted in Moriarty 2008: 1-64.

Malcolm, N., 1956, "Dreaming and Skepticism", The Philosophical Review, 65(1): 14–37.

Hobson, J.A., 1988, The Dreaming Brain, New York: Basic Books.

Foulkes, D. 1999, Children's Dreaming and the Development of Consciousness, Cambridge, MA and London: Harvard University Press.

Schwitzgebel, E., 2002, "Why Did We Think We Dreamed in Black and White?", Studies in History and Philosophy of Science, 33: 649–660.

Windt, J.M., 2010, "The Immersive Spatiotemporal Hallucination Model of Dreaming", *Phenomenology and the Cognitive Sciences*, 9: 295–316.

Solms, M., 1997, The Neuropsychology of Dreams: A Clinico-Anatomical Study, Mahwah, NJ: Lawrence Erlbaum